### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

pplicant: Bruce M. Frankel &

Scott D. Koysh

erial No.: 10/717,379

SEP 2 6 2005

Filed: November 19, 2003

For: FENESTRATED BONE TAP AND

**METHOD** 

Examiner: (not assigned)

Group Art Unit: 3732

Case No.: 7177.US.01

**CERTIFICATE OF MAILING (37 CFR** 1.8 (a))

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the:

Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313-1450, on:

Date of Deposit: September 22, 2005

# TRANSMITTAL LETTER

Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Enclosed herewith is an Information Disclosure Statement for Bruce M. Frankel & Scott D. Koysh for INSTRUMENTATION AND PROCEDURE FOR IMPLANTING SPINAL IMPLANT DEVICES, the specification of which was filed on March 11, 2003, and received Serial No. 10/387,363.

Also enclosed are:

Form PTO 1449 (in duplicate) w/four (4) references

Return-receipt postcard

The Commissioner is hereby authorized to charge any additional Filing Fees required under 37 CFR 1.16, as well as any patent application processing fees under 37 CFR 1.17 associated with this communication for which full payment has not been tendered, to Deposit Account No. 01-0025. A duplicate copy of this sheet is enclosed.

> Respectfully submitted, Bruce M. Frankel & Scott D. Koysh

ABBOTT LABORATORIES

Customer Number: 23492 Telephone: (847) 938-7576 Facsimile: (847) 938-2623

Beth A. Vrioni

Registration No. 39,869 Attorney for Applicants

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Kathleen Boettcher 9/33,

#### INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

This information disclosure statement is being filed before the mailing date of a first Office Action on the merits under 37 CFR § 1.97(b).

The Applicants submit herewith Form PTO 1449 listing the references cited for this Information Disclosure Statement.

The Applicants respectfully request that the Examiner initial next to each reference listed on the enclosed Form PTO 1449 indicating that the Examiner has considered and made those references of record in this application and that a copy of the initialed Form PTO 1449 be returned to Applicants.

No charge is required for the submission of this Information Disclosure Statement under 37 C.F.R. § 1.97 (b). The Commissioner is hereby authorized to charge any additional filing fees required under 37 C.F.R. § 1.17 concerning this transmission, or to credit any overpayment to Deposit Account No. 01-0025.

Respectfully submitted,

B. M. Frankel & S. D. Koysh

ABBOTT LABORATORIES
Customer Number: 23492

Telephone: (847) 938-7576 Facsimile: (847) 938-2623

Registration No. 39,869

Beth A. Vrioni

Attorney for Applicants

DATE:

September 22, 2005

SHEET \_1\_ of \_1\_

## Form PTO - 1449 (Modified)

FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE ATTY. DOCKET NO. **SERIAL NO.** PATENT AND TRADEMARK OFFICE (Modified) 7177.US.01 10/717,379 APPLICANT INFORMATION DISCLOSURE STATEMENT BY APPLICANT SEP 2 6 2005 Bruce M. Frankel & Scott D. Koysh FILING DATE GROUP (Use several sheets if necess November 19, 2003 3732 (37 CFR 1.98 (b))

## **U.S.PATENT DOCUMENTS**

EXAMINER									ISSUE			SUB	FILING
INITIAL		PATENT NUMBER					BEF	₹	DATE	PATENTEE	CLASS	CLASS	DATE
	A1	4	6	5	3	4	8	9	03/31/87	Tronzo			
	A2	5	0	4	7	0	3	0	09/10/91	Draenert			
	A3	5	6	0	1	5	5	9	02/11/97	Melker, et al.			
	A4	5	7	2	5	5	8	1	03/10/98	Branemark			
	A5	5	7	3	3	3	0	7	03/31/98	Dinsdale			
	A6	6	0	4	8	3	4	3	04/11/2000	Mathis, et al.			
	A7	6	2	1	0	3	7	6	04/03/2001	Grayson			
	A8	6	2	1	4	0	1	2	04/10/2001	Karpman, et al.			
	A9	6	5	6	5	5	7	2	05/20/2003	Chappius			

## FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION

	DO	oci	<b>.</b>				ER	PUBLI- CATION DATE	COUNTRY OR PATENT OFFICE	CLASS	SUB CLASS	TRA LAT YES	INS- ION NO
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## OTHER DOCUMENTS (Including Author, Title, Date, Place of Publication)

C1	Chappius, et al., "Fixation Strength Studies with Fenestrated Cemented Pedicle Screws in Human Cadaver", (2 pgs)
C2	Cook, et al., "Biomechanical Evaluation and Preliminary Clinical Experience with an Expansive Pedicle Screw Design", Journal of Spinal Disorders, 13(3):230-236
C3	Frankel, B., "Segmental polymethylmethacrylate-augmented pedicle screw fixation for reconstruction of unstable osteoporotic burse fractures", submitted to JNS: Spine 1/2003
C4	Introducing a New Solution to the Challenge of Securing Maximum Bone Purcahse: The Innovative Omega21 Expandable Screw for Spine Fixation, EBI Spine Systems, 2002 (2 pgs)

EXAMINER DATE CONSIDERED

EXAMINER: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

(Form PTO-1449)